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## ORIGINAL ARTICLE

# Q1 Intestinal microbiota development in the first week of life of preterm newborns

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### KEYWORDS

Preterm newborn;  
Microbiota;  
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### Abstract

**Objective:** This study aimed to evaluate the intestinal microbiota development in the first week of life of preterm newborns (PTNB) treated at a public hospital in a municipality in the Brazilian Northeast.

**Methods:** This is an observational, longitudinal, and descriptive study with 23 PTNBs. Two stool samples were collected from each neonate (fasting/meconium and seventh day of life) for stool microbiota analysis by 16S rRNA gene sequencing. The authors analyzed alpha diversity (Chao1, Shannon, and Simpson indices) and principal coordinates of beta diversity.

**Results:** Forty-six stool samples from 23 PTNBs were analyzed at the taxonomic level. Microbiota's development was dynamic with low diversity. The authors observed a statistical association with the genera *Enterobacteriales*, *Streptococcus*, *Bacteroides*, *Clostridium\_sensu\_stricto\_1*, *Enterococcus*, and *Bifidobacterium* in the fasting samples when compared to the day-7 samples. The genus *Staphylococcus* also dominated at both times.

**Conclusion:** Dynamics were observed in the intestinal microbiota development, with an alpha diversity decrease in the stool samples collected at fasting/meconium and on the seventh day of life.

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1	<b>Introduction</b>	
2	The intestinal microbiota is a microbial ecosystem involved	
3	in multiple interactions with the host, such as the delivery	
4	type (cesarean section versus vaginal), antibiotics (mother,	
5	baby, or both), human milk versus artificial feeding, and the	
6	introduction of complementary feeding and weaning. <sup>1-3</sup> As	
7	the child grows, the microbiota develops and influences	
8	health throughout life until it becomes stable around 18 to	
9	24 months. <sup>4</sup>	
10	Another critical factor in establishing infant intestinal	
11	microbiota is gestational age at birth. Studies have shown	
12	differences in the stool microbiota of preterm and term	
13	newborns. <sup>1</sup> PTNBs have specific and unique characteristics	
14	and face severe health challenges, such as immunological,	
15	respiratory, and neurological problems because they are	
16	immature. Moreover, they are usually exposed to antibiotics,	
17	prolonged hospital stays, use a respirator, and are fed arti-	
18	ficially or parenterally. This atypical care environment in the	
19	Neonatal Intensive Care Unit (NICU) negatively interferes	
20	with the natural pattern of acquisition and development of	
21	the healthy intestinal microbiota. <sup>1-4</sup>	
22	Although the microbiota-host interaction occurs through-	
23	out life, it is particularly relevant at birth, when changes in	
24	its composition can affect later stages, with an increased	
25	risk of several metabolic or immunological disorders. <sup>3</sup> For	
26	this reason, the complex factors involved in establishing the	
27	neonatal intestinal microbiota have gained interest in	
28	recent years. With this in mind, the current study aims to	
29	assess the intestinal microbiota development in the first	
30	week of life of PTNBs treated in a public hospital in a municip-	
31	ality in the Brazilian Northeast.	
32	<b>Methods</b>	
33	<b>Study characterization</b>	
34	This is a descriptive study, with primary data, of the intesti-	
35	nal microbiota of a group of PTNBs nested in a controlled,	
36	non-randomized, superiority clinical trial entitled “ <i>Metage-</i>	
37	<i>nomomic analysis of the intestinal microbiota of preterms</i>	
38	<i>undergoing oropharyngeal immunotherapy with colostrum</i>	
39	<i>attended at the SUS: an intervention study.</i> ” The clinical	
40	trial was approved by the Research Ethics Committee of the	
41	State University of Feira de Santana (CAAE N°	
42	16995219.0.0000.0053) and the Brazilian Registry of Clinical	
43	Trials (UTN: U1111–1248–6732). Mothers of PTNBs were	
44	invited to participate in the research within the first 24 h of	
45	delivery and supported by the psychology service.	
46	<b>Sample</b>	
47	The authors included all PTNBs born in 2021 and treated at	
48	the State Children’s Hospital (HEC) in Feira de Santana (a	
49	mid-level metropolitan city in the state of Bahia, Brazil)	
50	under the following eligibility criteria: birth weight $\leq$	
51	1.500 g, $\leq$ 36 weeks gestational age, on zero oral and	
52	enteral diet or using Total Parenteral Nutrition (TPN) or	
53	enteral administration of (pasteurized) human milk from the	
54	hospital’s Milk Bank. Newborns using vasopressor medication	
55	> 10 mg/Kg/min, requiring immediate surgical intervention,	
	and with syndromes or congenital malformations were	56
	excluded.	57
	<b>Stool sample collection</b>	58
	Two samples were collected in the neonatal unit daily from	59
	each PTNB in the first week of life; one corresponded to the	60
	newborn’s first fasting defecation (meconium – T0) and the	61
	other on the seventh day of life (T1).	62
	The samples were collected under a specific protocol to	63
	preserve existing bacterial species and the quality of the	64
	metagenomic DNA. Additional information on the collection	65
	of stool samples is available in a published manuscript. <sup>5</sup>	66
	<b>Variables</b>	67
	The maternal variables surveyed were maternal age, self-	68
	reported ethnicity/skin color, marital status, place of resi-	69
	dence, parity, number of prenatal visits, delivery type, ges-	70
	tational diabetes, gestational hypertension, smoking,	71
	coronavirus infection, urinary infection, chronic kidney dis-	72
	ease, and maternal syphilis.	73
	The variables relating to premature babies were: a) Clini-	74
	cal data - sex, gestational age, birth weight, use of antibiot-	75
	ics, broad-spectrum antibiotic, oxygen therapy type,	76
	umbilical catheter, central venous access, peripherally	77
	inserted central catheter, abdominal distension, gastric resi-	78
	due, mucosanguineous stools, regurgitation; b) Morbidity	79
	and mortality data - death, intraventricular hemorrhage,	80
	renal failure, neonatal sepsis, patent ductus arteriosus,	81
	pneumonia, pneumothorax, hyaline membrane disease	82
	(HMD), and c) Nutritional data - time to start an enteral	83
	diet, parenteral nutrition time, weight on the seventh day	84
	of life, and type of diet on the 7th day of life. The informa-	85
	tion about the newborn was recorded on a specific spread-	86
	sheet.	87
	<b>DNA extraction</b>	88
	The stool samples’ total DNA was extracted using the	89
	QIAamp PowerFecal Pro DNA Kit (QIAGEN, Hilden, Germany).	90
	This protocol involves using 250 mg of stool for cell lysis,	91
	employing beads and a lysis solution in a TissueLyser II (QI-	92
	AGEN, Hilden, Germany). The lysis is achieved by high-speed	93
	shaking at an oscillation frequency of 25 Hz for 10 min. The	94
	following steps were performed according to the manufact-	95
	urer’s standards. The extracted DNA was then eluted in	96
	80 $\mu$ L of DNase/RNase-free sterile water. After extraction,	97
	the DNA from the stool samples was measured using the	98
	Qubit Fluorometer (Thermo Fisher Scientific, Waltham, USA)	99
	using the Qubit™ dsDNA BR Assay kit, and then stored at	100
	–80 °C until the Polymerase Chain Reaction (PCR) amplifica-	101
	tion stage.	102
	<b>Sample sequencing</b>	103
	Amplification of the V3-V4 region of the 16S rRNA gene.	104
	The newborns’ stool microbiota was characterized by	105
	amplifying the V3-V4 region of the bacterial 16S ribosomal	106
	gene. The primer sequences used for this region were V3-V4	107
	forward primer and V3-V4 reverse primer, described by	108
	Klindworth et al. <sup>11</sup> , with Illumina adapters. The target	109

110 sequences were amplified with 5  $\mu$ L of microbial DNA (10ng/  
111  $\mu$ L) in a total volume of 25  $\mu$ L, also consisting of 5  $\mu$ L of  
112 each primer, 2.5  $\mu$ L of AccuPrime PCR Buffer II (Thermo-  
113 Fisher), 0.2  $\mu$ L of AccuPrime Taq DNA Polymerase (Thermo-  
114 Fisher), and 7.3  $\mu$ L of DNase/RNase-free sterile water. The  
115 reaction was performed under the following conditions: an  
116 initial cycle of 94  $^{\circ}$ C for 2 min, followed by 30 cycles consist-  
117 ing of denaturation at 94  $^{\circ}$ C for 30 s, annealing at 55  $^{\circ}$ C for  
118 30 s, extension at 68  $^{\circ}$ C for 45 s, and a final cycle of 68  $^{\circ}$ C  
119 for 2 min. The amplicon size after the PCR step is approxi-  
120 mately 550 bp.

121 The amplicons from the PCR step were subjected to an  
122 indexing PCR using two adapters from the Nextera XT Index  
123 Kit Set A. Each reaction contained 5  $\mu$ L of Nextera XT Index  
124 1 Primers (N7XX) and 5  $\mu$ L of Nextera XT Index 2 Primers  
125 (N7XX), besides 5  $\mu$ L of the PCR amplicon, 5  $\mu$ L of AccuPrime  
126 PCR Buffer II (ThermoFisher), 1.3  $\mu$ L of AccuPrime Taq DNA  
127 Polymerase (ThermoFisher), and 28.7  $\mu$ L of DNase/RNase-  
128 free sterile water, in a final volume of 50  $\mu$ L. The reaction  
129 includes an initial cycle at 94  $^{\circ}$ C for 2 min, followed by 8  
130 cycles of 94  $^{\circ}$ C for 30 s, 55  $^{\circ}$ C for 30 s, and 68  $^{\circ}$ C for 45 s,  
131 with a final cycle of 68  $^{\circ}$ C for 2 min. After the indexing step,  
132 the target fragment size was approximately 630 bp. The  
133 amplicons were then quantified and normalized to a concen-  
134 tration of 4 nM.

135 For sequencing, the amplicons were pooled and loaded  
136 onto Illumina MiSeq clamshell style cartridge kit V2 (500  
137 cycles), for paired-end 250 sequencing, at a final concentra-  
138 tion of 8 pM. The library was clustered to a density of  
139 approximately 820 k/mm<sup>2</sup>. All procedures were carried out  
140 following the manufacturer's protocol (Illumina-16S Metage-  
141 nomic Sequencing Library Preparation).<sup>6</sup>

## 142 Microbiota analysis using bioinformatics tools

143 After obtaining the sequences, the 16S rRNA libraries were  
144 analyzed using the QIIME v.2-2020.2 software.<sup>7</sup> Denoising  
145 was performed through the DADA2 tool.<sup>8</sup> The direct sequen-  
146 ces were then truncated at position 251 nucleotides, while  
147 the reverse sequences were truncated at 250 nucleotides to  
148 discard the positions for which the median nucleotide qual-  
149 ity was lower than Q30. Samples with <1000 sequences  
150 were also excluded from further analysis.

151 Taxonomy was assigned using ASVs (Amplicon Sequencing  
152 Variant) via the q2-feature classifier resource and the Bayes  
153 naive taxonomy classifier classifysklearn, comparing the  
154 ASVs obtained against the SILVA 132 reference database.<sup>9,10</sup>  
155 The subsequent analyses were carried out in SPSS software  
156 version 26 and R version 4.2.2, using the phyloseq, vegan,  
157 microbiome, and ggplot2 packages.<sup>11-14</sup>

## 158 Statistical analysis

159 The analyses were conducted using SPSS version 26 and R  
160 version 4.2.2. The Chao1 richness index, Shannon diversity  
161 index, and Simpson diversity index were evaluated for the  
162 alpha diversity analysis. Besides the beta diversity analysis,  
163 the authors also evaluated the difference in the 15 most  
164 abundant bacterial genera in the stool samples. The effect  
165 of time on the intestinal microbiota was assessed in all the  
166 analyses, comparing between the different periods.

167 Descriptive measures such as mean and standard devia-  
168 tion for numerical variables and absolute and relative fre-  
169 quencies for categorical variables were calculated. The  
170 adherence to normality was first assessed using the Shapiro-  
171 Wilk test to check for variations over time. Next, the non-  
172 parametric Wilcoxon rank sum exact test was adopted, simi-  
173 lar to the Student's *t*-test for two related samples. A signifi-  
174 cance level of  $p < 0.05$  was employed.

175 The alpha diversity indices (Chao1, Shannon, Simpson)  
176 were calculated using Generalized Estimating Equations  
177 (GEE). The models were evaluated using gamma or linear  
178 distributions and the identity link function. The correlation  
179 matrix varied between independent, AR, unstructured, and  
180 exchangeable. The lowest quasi-likelihood under the Inde-  
181 pendence Criterion (QIC) value was considered to select the  
182 best model. The best adherence of the residuals was also  
183 assessed using the Q-Q plot.<sup>15</sup>

184 In the beta diversity analysis, the PERMANOVA test was  
185 performed for each variable with the adonis2 function  
186 (vegan package), using the weighted and unweighted Uni-  
187 Frac distances. Nine hundred ninety-nine permutations  
188 were made for each variable. A  $p$ -value  $< 0.05$  was consid-  
189 ered statistically significant.

190 The authors performed the Principal Coordinate Analysis  
191 (PCoA), a graphical representation that allows multidimen-  
192 sional data to be analyzed on a two-dimensional plane.

## 193 Results

194 Eighty stool samples were collected from 40 PTNBs for the  
195 intestinal microbiota analysis. After bioinformatic analysis,  
196 34 samples were excluded (17 infants) because they had low  
197 DNA read counts ( $< 1000$  reads). Forty-six samples from 23  
198 newborns were analyzed and sequenced. The descriptive  
199 characteristics of the mothers, control PTNBs, and excluded  
200 PTNBs in the study are shown in Table 1; and, it is notewor-  
201 thy that there were no discrepant differences between the  
202 compared groups.

## 203 Alpha diversity and beta diversity

204 The results of the alpha diversity indices (Chao1, Shannon,  
205 and Simpson) regarding time (T0 – first sample collected /  
206 T1 – sample collected on the seventh day of life) are shown  
207 in Figure 1. The Shannon diversity index shows a significant  
208 reduction in microbial diversity when comparing T0 (first  
209 sample collected) with T1 (sample collected on the seventh  
210 day of life) (4.46 vs. 1.88;  $p < 0.001$ ). Simpson's diversity  
211 index ranges from 0 to 1 and measures the probability that  
212 two individuals taken randomly from the community belong  
213 to the same species; 0 (zero) represents no diversity, and 1  
214 infinity diversity. The results indicate statistically significant  
215 differences in Simpson's index at T0 compared to T1  
216 (0.90 vs. 0.63;  $p = 0.001$ ) (Figure 1). Analysis of the samples  
217 between the first collection and the last collection (after  
218 the enteral diet had started) showed a downward trend in  
219 alpha diversity (Shannon 4.46 vs. 1.88; Chao1 76.7 vs. 36.9;  
220 Simpson 0.90 vs. 0.63), although biological diversity was  
221 found in all the tests.

222 The differences in beta diversity can be observed using a  
223 Principal Coordinates Analysis (PCoA) plot based on the

**Table 1** Descriptive statistics of mothers and their premature newborns in the first week of life, 2023.

Variables	RN Control N (%)	RN Excluded N(%)
Maternal age	<b>23</b>	<b>15</b>
≥ 18 years	21 (91.3)	14 (93.3)
< 18 years	2 (8.7)	1 (6.7)
Self-declared ethnicity/skin color	<b>23</b>	<b>17</b>
White	1 (4.3)	3 (17.6)
Non-white	22 (95.7)	14 (82.4)
Marital status	<b>20</b>	<b>16</b>
With partner	11 (55)	8 (50.0)
Without partner	9 (45)	8 (50.0)
Place of residence	<b>23</b>	<b>17</b>
Urban	18 (78.3)	10 (58.8)
Rural	5 (21.7)	7 (41.2)
Parity	<b>18</b>	<b>17</b>
Multiparous	9 (50)	13 (76.5)
Primiparous	9 (50)	4 (23.5)
Number of prenatal care visits	<b>16</b>	<b>14</b>
≥ 6 visits	4 (25)	9 (64.3)
< 6 visits	12 (75)	5 (35.7)
Delivery type	<b>23</b>	<b>17</b>
Vaginal	11 (47.8)	11 (64.7)
Cesarean	12 (52.2)	6 (35.3)
Gestational diabetes	<b>23</b>	<b>16</b>
No	21 (91.3)	13 (81.3)
Yes	2 (8.7)	3 (18.8)
Gestational hypertension	<b>23</b>	<b>16</b>
No	17 (74)	10 (62.5)
Yes	6 (26)	6 (37.5)
Smoker	<b>23</b>	<b>15</b>
No	22 (91.3)	15 (100.0)
Yes	1 (4.4)	0 (0.0)
Coronavirus infection	<b>23</b>	<b>17</b>
No	22 (95.6)	17 (100.0)
Yes	1 (4.4)	0 (0.0)
Urinary infection	<b>23</b>	<b>16</b>
No	20 (86.9)	11 (68.75)
Yes	3 (13.1)	5 (31.25)
Chronic kidney disease	<b>23</b>	<b>17</b>
No	22 (95.6)	16 (94.12)
Yes	1 (4.4)	1 (5.88)
Maternal syphilis	<b>23</b>	<b>17</b>
No	22 (95.6)	17 (100.0)
Yes	1 (4.4)	0 (0.0)

Descriptive statistics of preterm newborns in the first week of life

Variables	RN Control Mean ± Standard Deviation	RN Excluded Mean ± Standard Deviation	RN Control N (%)	RN Excluded N (%)
Clinical data				
Newborn sex			<b>23</b>	<b>17</b>
Female	—	—	12 (52.2)	7 (41.2)
Male	—	—	11 (47.8)	10 (58.8)
Gestational age			<b>23</b>	<b>15</b>
≥ 28 weeks	—	—	13 (56.6)	9 (60.0)
< 28 weeks	—	—	10 (43.5)	6 (40.0)
Gestational age (weeks)	29.09 ± 2.6	28.13 ± 2.7	—	—

Table 1 (Continued)

Descriptive statistics of preterm newborns in the first week of life				
Variables	RN Control Mean ± Standard Deviation	RN Excluded Mean ± Standard Deviation	RN Control N (%)	RN Excluded N (%)
Birth weight (grams)	1055.2 ± 224.2	1074.59 ± 294.82	—	—
Birth weight			<b>23</b>	<b>17</b>
≤ 1500 > 1000 g (VLBW) <sup>a</sup>	-	—	12 (52.2)	11 (64.7)
< 1000 g (ELBW) <sup>a</sup>	-	—	11 (47.8)	6 (35.3)
Use of antibiotics			<b>23</b>	<b>17</b>
No	—	—	2 (8.7)	0 (0.0)
Yes	—	—	21 (91.3)	17 (100.0)
Broad-spectrum antibiotic			<b>21</b>	<b>15</b>
Ampicillin/Gentamicin/ Oxacillin/Amikacin	—	—	14 (66.7)	10 (66.7)
Piperacillin/Tazobactan/ Vancomycin/Meropenem	—	—	7 (33.3)	5 (33.3)
Oxygen Therapy			<b>23</b>	<b>17</b>
Non-invasive	—	—	9 (39.1)	3 (17.6)
Invasive	—	—	14 (60.9)	14 (82.4)
Umbilical catheter			<b>23</b>	<b>17</b>
No	—	—	0 (0.0)	0 (0.0)
Yes	—	—	23 (100.0)	17 (100.0)
Central venous access			<b>23</b>	<b>17</b>
No	—	—	20 (87.0)	14 (82.3)
Yes	—	—	3 (13.0)	3 (17.7)
Peripherally Inserted Central Catheter			<b>23</b>	<b>16</b>
No	—	—	8 (34.8)	9 (52.9)
Yes	—	—	15 (65.2)	8 (47.1)
Abdominal distension			<b>23</b>	<b>17</b>
No	—	—	11 (47.8)	9 (52.9)
Yes	—	—	12 (52.2)	8 (47.1)
Gastric residue			<b>23</b>	<b>17</b>
No	—	—	7 (30.5)	6 (35.3)
Yes	—	—	16 (69.5)	11 (64.7)
Mucosanguineous stools			<b>23</b>	<b>17</b>
No	—	—	22 (95.7)	17 (100.0)
Yes	—	—	1 (4.3)	0 (0.0)
Regurgitation			<b>23</b>	<b>17</b>
No	—	—	11 (47.8)	11 (64.7)
Yes	—	—	12 (52.2)	6 (35.3)

Morbidity and mortality data	Mean ± Standard Deviation	Mean ± Standard Deviation	RN Control N (%)	RN Excluded N (%)
Death			<b>23</b>	<b>17</b>
No	—	—	20 (87.0)	15 (88.2)
Yes	—	—	3 (13.0)	2 (11.8)
Intraventricular hemorrhage			<b>23</b>	<b>17</b>
No	—	—	21 (91.3)	14 (82.4)
Yes	—	—	2 (8.7)	3 (17.6)
Renal Failure			<b>23</b>	<b>16</b>
No	—	—	21 (91.3)	14 (87.5)
Yes	—	—	2 (8.7)	2 (12.5)
Neonatal sepsis			<b>23</b>	<b>17</b>
No	—	—	2 (8.7)	0 (0.0)
Yes	—	—	21 (91.3)	17 (100.0)

Table 1 (Continued)

Morbidity and mortality data	Mean ± Standard Deviation	Mean ± Standard Deviation	RN Control N (%)	RN Excluded N (%)
Patent ductus arteriosus			<b>23</b>	<b>17</b>
No	—	—	21 (91.3)	16 (94.1)
Yes	—	—	2 (8.7)	1 (5.9)
Pneumonia			<b>23</b>	<b>17</b>
No	—	—	22 (95.7)	17 (100.0)
Yes	—	—	1 (4.3)	0 (0.0)
Pneumothorax			<b>23</b>	<b>17</b>
No	—	—	23 (100.0)	17 (100.0)
Yes	—	—	0 (0.0)	0 (0.0)
Hyaline Membrane Disease			<b>23</b>	<b>17</b>
No	—	—	19 (82.7)	6 (35.3)
Yes	—	—	4 (17.3)	11 (64.7)
Nutritional data	Mean ± Standard Deviation	Mean ± Standard Deviation	RN Control N (%)	RN Excluded N (%)
Time to start an enteral diet (days)	1.66 ± 1.45	1.94 ± 1.34	—	—
Parenteral nutrition time (days)	6.04 ± 1.63	5.25 ± 2.2	—	—
Weight on day 7 (grams)	1010.9 ± 208.7	1570.1 ± 2263.5	—	—
Type of diet on the 7th day of life	—	—	<b>19</b>	<b>13</b>
Fast	—	—	4 (21.1)	0 (0.0)
Exclusive breast milk	—	—	15 (78.9)	13 (100.0)
Breast milk + formula	—	—	0 (0.0)	0 (0.0)

<sup>a</sup> VLBW, Very low birth weight; ELBW, Extremely low birth weight.

224 weighted and unweighted UniFrac distance matrices. The  
225 coordinate analysis considered two groups, the first by col-  
226 lection time between samples (T0 and T1) and prophylactic  
227 antibiotics (yes and no). There was no statistical significance  
228 in the weighted analysis between T0 and T1 ( $F=0.77$ ;  
229  $P=0.51$ ) nor regarding the use of antibiotics ( $F=0.54$ ;  
230  $P=0.69$ ). In the unweighted analysis, there was significance  
231 only in terms of the time between samples ( $F=8.92$ ;  
232  $P=0.001$ ), which was not found for antibiotic use ( $F=1.33$ ;  
233  $P=0.22$ ) (Figure 1).

### 234 Genera relative abundance

235 Statistical analysis and the distribution of the 15 most abun-  
236 dant bacterial genera in the stool samples at T0 and T1 were  
237 performed, described in Table 2 and Figure 2. The relative  
238 abundance of the most prevalent bacterial genera in the  
239 samples shows the dominance of three taxa observed in  
240 Table 2.

241 After statistical analysis, the analysis of composition and  
242 taxonomic variations showed statistical significance  
243 ( $p < 0.05$ ) in T0 against T1 for taxa. No statistically signifi-  
244 cant differences were identified in the relative abundance  
245 of the other eight genera tested (Table 2).

### 246 Discussion

247 The current study aimed to describe the intestinal microbio-  
248 ta's development and diversity in two different stages:

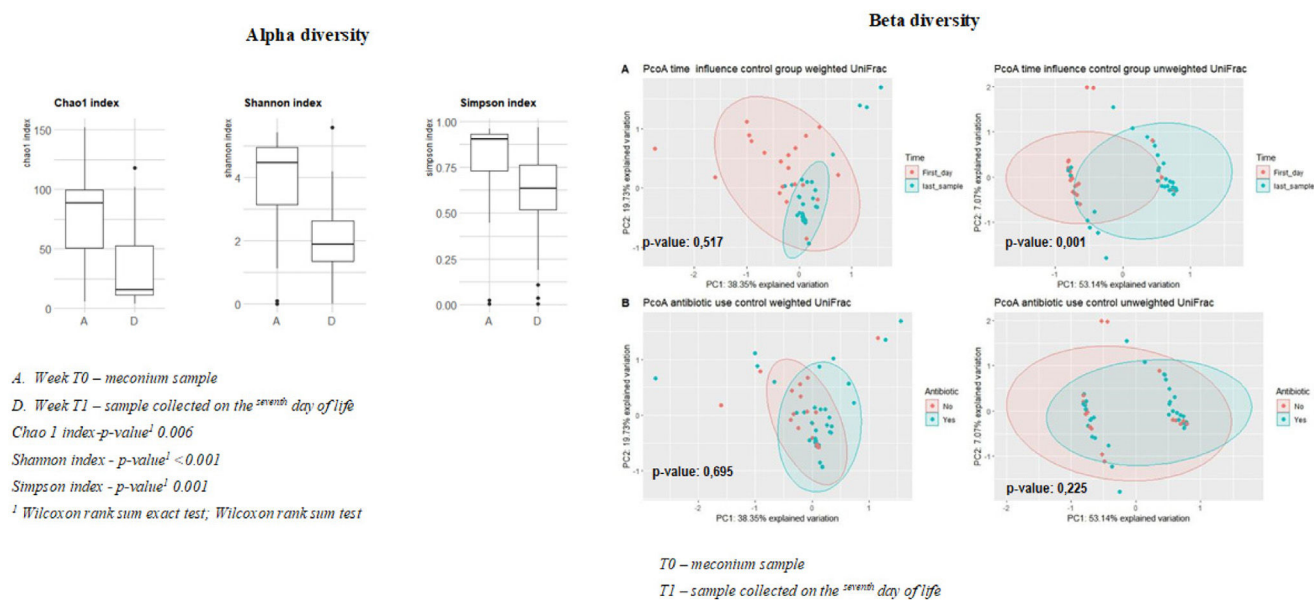
249 birth, based on the analysis of meconium, and on the sev-  
250 enth day of life from 23 PTNBs.

251 Analyzing the Chao1, Shannon, and Simpson indices  
252 allowed us to estimate the patterns of richness and diversity  
253 of the microbial community of the intestinal microbiota of  
254 preterms, and the authors observed a decrease in alpha  
255 diversity in the stool samples collected between T0 and T1,  
256 characteristic has been observed in other studies and is con-  
257 sidered a dysbiosis marker.<sup>16</sup>

258 As for beta diversity, the authors observed significant dif-  
259 ferences in the unweighted analysis between the samples  
260 (T0/T1), which shows a change in the composition of the  
261 microbial communities over time. In the meconium, the  
262 authors found a higher relative abundance of the taxa *Staphy-*  
263 *lococcus*, *Streptococcus*, and *Enterobacterales*. *Staphylo-*  
264 *coccus*, *Bacteroides*, *Ralstonia*, and *Enterobacterales* were  
265 more abundant on the seventh day of life.

266 However, when the taxonomic variations were analyzed  
267 at the two collection stages, a significant decrease was  
268 observed in *Enterobacterales*, *Streptococcus*, *Clostridium\_-*  
269 *sensu\_stricto\_1*, and *Bifidobacterium*, and an increase in  
270 the genera *Bacteroides*, *Enterococcus*, *Staphylococcus*, and  
271 *Acinetobacter*, although only the first two were statistically  
272 significant. Thus, the authors observed that the bacterial  
273 community may be being maintained by all the bacteria  
274 present, regardless of their abundance, as a whole, and not  
275 just by the prevalent group.

276 In all the measurements (alpha, beta diversity, and rela-  
277 tive abundance), the authors observed that babies' micro-  
278 bial communities become more homogeneous at T1 when  
279 abundance (weighted) is considered, although this was not



**Figure 1** Chao 1, Shannon, and Simpson diversity indices in preterm newborns' first week of life, and the beta diversity principal coordinates analysis, comparisons over the first week T0 and T1 and antibiotic use, 2023.

280 significant. There is also an apparent change in the composition of the species at the different stages, a decreased diversity (significant reduction in “Others” and decline in Chao1), and a significant difference in unweighted beta (which only considers the presence/absence of microorganisms). Some factors are cited in the literature as contributing to these changes, such as the colonization and establishment in the first days of life, the implementation of enteral feeding, the acquisition of microorganisms from the hospital environment, and the high prevalence of antibiotic use in the groups studied.<sup>1,16</sup>

291 The diversity of intestinal microbiota at both stages is expected since the meconium microbiota mainly reflects prenatal and neonatal factors.<sup>16-19</sup> Previous maternal infections, such as those observed in this study, syphilis (baby number 15), urinary infection (babies numbers 12, 15, and 27), coronavirus infection (baby number 27), and gestational diabetes (babies numbers 15 and 28) may have influenced the newborns' colonization profile.

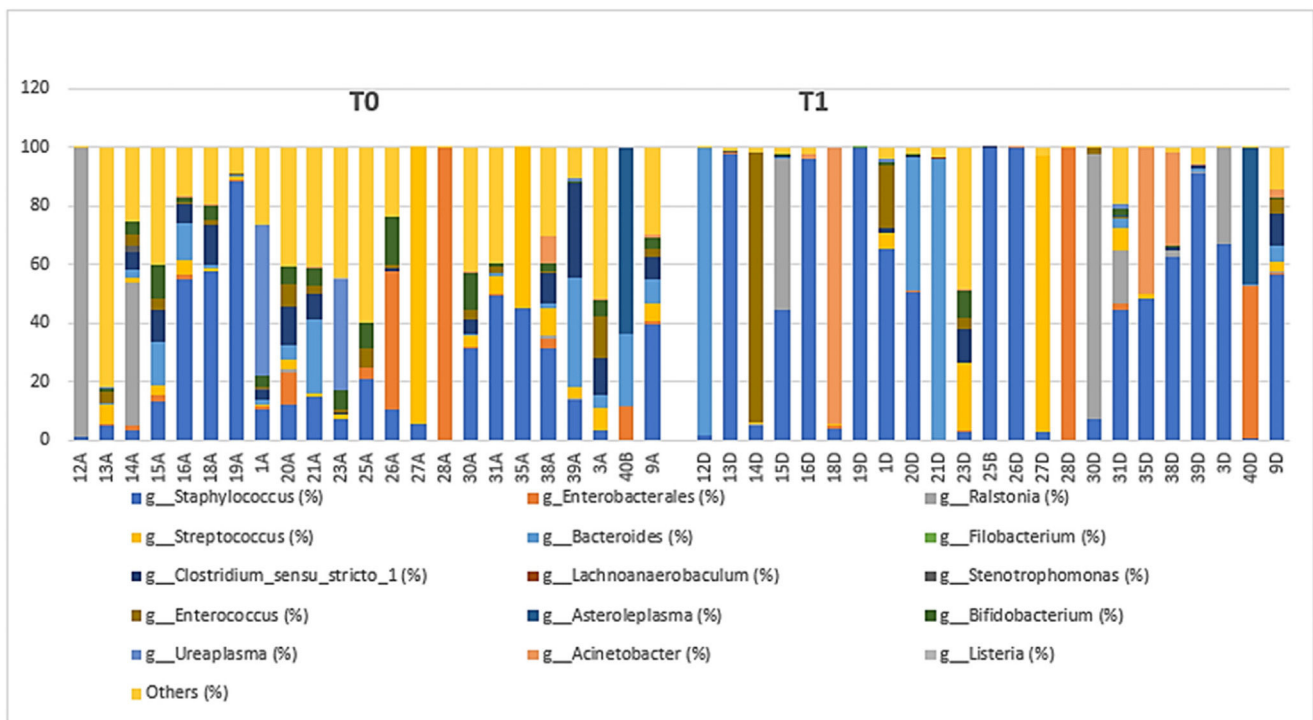
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**Table 2** Composition and taxonomic variations of samples at genus level and their relative abundance over time, 2023.

Genus	Week		p-value <sup>b</sup>
	T0 <sup>a</sup> (%)	T1 <sup>a</sup> (%)	
<i>g_Staphylococcus</i>	22.57	45.59	0.11
<i>o_Enterobacterales</i>	8.10	6.85	0.041
<i>g_Ralstonia</i>	6.5	8.57	0.7
<i>g_Streptococcus</i>	9.18	5.59	0.019
<i>g_Bacteroides</i>	6.18	10.90	0.022
<i>g_Filobacterium</i>	0.0047	0.0026	0.9
<i>g_Clostridium_sensu_stricto_1</i>	5.77	1.21	0.038
<i>g_Lachnoanaerobaculum</i>	0.0026	0.0040	0.5
<i>g_Stenotrophomonas</i>	0.103	0.0035	0.2
<i>g_Enterococcus</i>	2.54	5.46	0.010
<i>g_Asteroleplasma</i>	2.77	2.05	0.7
<i>g_Bifidobacterium</i>	4.16	0.62	<0.001
<i>g_Ureaplasma</i>	3.98	0.10	0.3
<i>g_Acinetobacter</i>	0.55	7.87	0.6
<i>g_Listeria</i>	0.0056	0.000	0.081
Others	27.46	4.77	<0.001

<sup>a</sup> Week T0 – meconium sample/Week T1 – sample collected on the 7th day of life.

<sup>b</sup> p < 0.05.



**Figure 2** Relative genera abundance in stool samples from preterm newborns over time, 2023. T0 – First fasting sample—meconium T1—Sample on the 7th day of life.

microbiota is characterized by low diversity and high inter-individual variability in very premature newborns, which can be attributed to several conditions, such as cesarean delivery, prolonged exposure to the environment, and neonatal intensive care unit (NICU) practices, involving isolation in incubators, oxygen use, intubation, extubation, and the use of broad-spectrum antibiotics.<sup>16</sup> Also, prematurity and diet influence the dynamics of intestinal bacterial establishment.<sup>1</sup>

The present study identified a high prevalence of anaerobic bacteria such as *Staphylococcus* and *Streptococcus* in the samples. Similarly, a study conducted in Indonesia, showed decreasing diversity and complexity of the microbiome when comparing stool samples in the meconium on the fourth and seventh days of life.<sup>20</sup>

The authors identified an increased prevalence of *Bacteroides* over time (T0/T1). The upward trend of this genus at the end of the first week of the PTNB's life may reflect the type of delivery, which is generally one of the main factors determining initial colonization since *Bacteroides* characterize the normal vaginal microbiome.<sup>2,21</sup> Vaginal delivery was observed in almost half of the PTNB mothers evaluated. Moreover, a more anaerobic environment can also help to establish *Bacteroides*.<sup>19,21</sup>

The evaluated meconium samples were derived from PTNBs on a zero diet. The stool seventh-day samples, on the other hand, were influenced by the type of feeding and the time when the enteral diet was started via an orogastric tube with human milk from the human milk bank (HMB), which helps with food tolerance and intestinal health, although it has a different impact on the baby's intestinal microbiota when compared to the mother's raw milk. However, both have a marked influence on the stool microbiota

when compared to the microbiota of those who use formula.<sup>22,23</sup> The differences in intestinal microbial composition between breastfed and formula-fed babies are well documented, with higher bifidobacteria levels in those fed with human milk.<sup>1,24</sup> In this sense, considering that all the PTNBs in the current study were exclusively consuming human milk on day 7, this microbiota was expected to show a greater abundance of *Bifidobacterium*. However, the authors found a decline in the mean prevalence in (T1).

The literature shows that PTNBs show delayed intestinal colonization with commensal anaerobic species such as *Bifidobacterium* or *Bacteroides*, where instead their stools contain significantly higher *Enterobacteriaceae*, *Enterococcus*, and *Enterobacterales* levels.<sup>1,22,23</sup> Another factor that needs to be considered in the cohort is the early collection of stool samples, which may not have allowed the genus *Bifidobacterium* to reach a state of dominance that would allow it to be evidenced since the alpha diversity of the intestinal microbiota in PTNBs increases as preterms age.<sup>25, 26</sup>

Similarly, a study conducted in Indonesia found a low *Bifidobacterium* and *Lactobacillus* prevalence, attributed to the mother's diet, which was low in dairy products.<sup>18</sup> Other possibilities that determine the low *Bifidobacterium* prevalence are exclusive feeding of human milk from the milk bank, which has a varied composition of bioactive components (all the newborns were on it) and antibiotic use (adopted by a large proportion of the babies).<sup>1,26</sup> Furthermore, the delay in starting the enteral diet, which was approximately one and a half days for the newborns in this study, may also have contributed to the low concentration of *Bifidobacterium*. In very low and extremely low birth weight PTNBs, the start of the diet is delayed due to



365	characteristics of prematurity, such as immaturity of the digestive system and clinical instability. <sup>1</sup>	
367	Although there was no statistical significance regarding the genus <i>Staphylococcus</i> in this trial, the authors observed	
368	a high prevalence of relative abundance in both groups (T0 and T1), corroborating other studies that have pointed to	
370	the dominance of this genus in the meconium of PTNBs, especially in cesarean births. <sup>19,27</sup> The high abundance of	
371	these bacteria may have contributed to neonatal sepsis. <sup>28</sup>	
372	The increase in <i>Staphylococcus</i> was also found in another study. <sup>29</sup> It can be explained by the bacterial transfer from	
373	human milk to the PTNB and the swallowing of bacteria in the oral cavity that have not adhered to the mucosa and participate	
374	in intestinal colonization. <sup>29</sup>	
375	Furthermore, the authors observed a higher <i>Clostridium sensu stricto 1</i> prevalence in the meconium samples against	
376	the seventh day. The <i>Clostridium sensu stricto 1</i> genus includes >20 species, some of which have pathogenic potential,	
377	and others have commensal characteristics. <sup>30</sup> PTNBs born by cesarean section, the prevailing delivery type in the	
378	current study, have a reduced complexity of intestinal microbiota and are more frequently colonized by the genera	
379	<i>Clostridium sensu stricto 1</i> and <i>Clostridium difficile</i> , by environmental microorganisms from the mother's skin,	
380	unlike those born vaginally, who result in gut colonization by microorganisms associated with the vagina such as <i>Bifidobacterium</i>	
381	and <i>Bacteroides</i> because they come into contact with the maternal vaginal and fecal microbiota. A study	
382	demonstrated that the intestinal microbiota of preterm infants reflects the diverse vaginal microbiota. <sup>21</sup>	
383	Factors such as human milk feeding may have possibly contributed to correcting this sign of intestinal dysbiosis	
384	identified in the meconium samples. <sup>1</sup> A cohort study conducted with 1249 mother-baby dyads provided evidence	
385	that human milk can transfer bacteria to the newborn's intestine and influence the development of the intestinal	
386	microbiota to an extent similar to other infant microbiome modifiers, such as the birth type. <sup>8</sup>	
387	These results reflect the findings of the intestinal microbiota of a group of PTNBs admitted to the NICU of a city in	
388	the Brazilian Northeast. The authors noticed that the neonates' intestinal microbiota development was dynamic and	
389	with low diversity, with variations in the following genera: <i>Enterobacteriales</i> , <i>Streptococcus</i> , <i>Bacteroides</i> , <i>Clostridium sensu stricto_1</i> ,	
390	<i>Enterococcus</i> and <i>Bifidobacterium</i> . The genus <i>Staphylococcus</i> prevailed in both stages.	
391	As limitations, the authors highlight: the short follow-up time of the PTNB, the use of prophylactic antibiotic therapy	
392	and the failure to carry out a comparative analysis of specific populations, such as subgroups of newborns born small for	
393	gestational age and extremely premature infants. Furthermore, the convenience sample and small sample size may	
394	have affected the study's statistical power, hindering the generalization of the results to all PTNBs or full-term births.	
395	The strengths of the present study include its relevance in research on the intestinal microbiota development in the	
396	first week of life of preterm newborns, initially on a zero diet and fed with human milk from the HMB via an orogastric	
397	tube until the seventh day of life. Furthermore, the careful stool sample collecting technique avoids contamination and	
398	allows the evaluation of the 16S rRNA gene by metagenomic analysis.	
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	<b>Authors' contributions</b>	434
	All authors approved the final manuscript as submitted and agreed to be accountable for all aspects of the work.	435 436
	<b>Trial registration</b>	437
	World Health Organization (WHO) under Universal Trial Number (UTN) code U1111-1266-2295, under register RBR-3mm7cs in the Brazilian Registry of Clinical Trials (REBEC).	438 439 440
	<b>Conflicts of interest</b>	441
	The authors declare no conflicts of interest.	442
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