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ORIGINAL ARTICLE

The trajectory of head circumference and neurodevelopment in very preterm newborns during on the first two years of life: a cohort study

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KEYWORDS Head circumference; Child development; Preterm infants; Follow-up	Abstract <i>Objective:</i> To evaluate the growth trajectory of head circumference and neurodevelopment, and to correlate head circumference with cognitive, language, and motor outcomes during the first two years. <i>Method:</i> Prospective cohort study in a tertiary hospital including 95 newborns under 32 weeks or 1500 g. Neonates who developed major neonatal morbidities were excluded. The head circum- ference was measured at birth, at discharge, and at terms, 1, 3, 5, 12, 18, and 24 months of cor- rected age, and the Bayley Scales (Bayley-III) were applied at 12, 18 and 24 months of corrected age to assess cognitive language and motor domains. Scores below 85 were classified as mid/
	age to assess cognitive, language and, motor domains. Scores below 85 were classified as mild/ moderate deficits and scores below 70 as severe deficits. The association between head

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circumference Z score and Bayley scores was assessed using Pearson's correlation. The study considered a significance level of 0.05.

Results: There was a decrease of -0.18 in the head circumference Z score between birth and discharge and the catch-up occurred between discharge and 1 month (an increase of 0.81 in the Z score). There was a positive correlation between head circumference and Bayley scores at 18 months. There was also a positive correlation between head circumference at discharge and at 5 months with the three domains of the Bayley.

Conclusion: Serial measurements of head circumference provide knowledge of the trajectory of growth, with early catch-up between discharge and 1 month, as well as its association with neurodevelopment. Head circumference is therefore a valuable clinical marker for neurodevelopment, especially in very preterm newborns.

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1 Introduction

2 Insufficient head circumference (HC) growth is a predictor of neurodevelopmental disabilities and serial HC measure-3 ments can identify children at risk of brain development and 4 growth deficits.¹⁻⁵ Longitudinal assessment of HC growth 5 allows indirect monitoring of brain development, as studies 6 show a correlation between HC and total brain volume 7 assessed by magnetic resonance imaging.^{1,5} Very preterm 8 newborns have lower HC at term corrected age than full-9 10 term newborns, as well as lower intelligence quotient scores, probably due to genetic, nutritional, and prematu-11 rity-related issues and secondary morbidities, among other 12 causes.^{6,7} 13

The survival rate of very preterm newborns has increased 14 over the last three decades, from 53 % in the early 1990s to 15 73% in 2016–2017.⁸ In this period, the prevalence of chil-16 dren who survived without significant neurodevelopmental 17 impairments at 2 years of age, such as cerebral palsy, deaf-18 ness, and blindness, increased from 42 % to 62 %.⁸ However, 19 this group of newborns still has a prevalence of 35 to 50 % of 20 21 cognitive and behavioral deficits and poor school 22 performance, which is a worrying issue for health and education.9,10 23

The first thousand days of life comprise a sensitive period 24 of brain development and growth, in which HC correlates 25 with brain volume, and is considered a clinical marker and 26 "proxy" for brain development and intelligence.^{1,5,11,12} 27 Advances in neuroimaging and neuroscience have identified 28 a vulnerability with regional volumetric reduction of the 29 immature brain, with neurobehavioral consequences, espe-30 cially in academic, social, and emotional performance of 31 prematurely born children.^{7,13,14} 32

Recent research has highlighted the correlation between 33 HC at birth and brain growth during neonatal hospitalization 34 and early childhood with cognitive, motor, attentional, and 35 executive control skills.^{1,2,5} Raghuram and colleagues, in a 36 37 cohort study with 1973 newborns under 29 weeks, observed 38 a correlation between lower HC growth during the neonatal 39 period and the first 2 years of life with neurodevelopmental impairments.³ 40

However, the most sensitive period of brain growth,
within the first 2 years of life, is still unknown.^{1,5} Studies
correlating HC and development in very preterm newborns
generally include children with major neonatal morbidities,
and currently, the "dysmaturational" issues of the

development of an immature brain are very much associated 46 with the environmental exposure that the very preterm 47 newborn experiences in the neonatal period.⁷ 48

Thus, this study aimed to evaluate the growth trajectory 49 of HC and neurodevelopment in very preterm or very low 50 birthweight children without major neonatal morbidities, 51 correlating this HC trajectory with cognitive, language, and 52 motor development during the first two years of corrected 53 age. 54

Methods

Study design, setting, and participants

This study is part of the "Coorte Pré-Crescer", a cohort study 57 of 'healthy' preterm infants at the Instituto Fernandes Fig-58 ueira/FIOCRUZ, Rio de Janeiro, Brazil. It was approved by 59 the Research Ethics Committee of this institute (CAAE 60 00754612.9.0000.5269) and the participants' guardians 61 signed the Informed Consent Form before the study began. 62 December 2016 was chosen as the end of the study period, 63 because from that date an ongoing cohort was started, 64 including very preterm or very low birthweight infants with 65 and without neonatal morbidity. 66

This study included newborns with a gestational age of 67 <32 weeks or a birthweight of <1500 g, admitted between 68 2012 and 2016 to the Institute's Neonatal Intensive Care Unit 69 (NICU), without congenital malformation, genetic syndrome 70 and congenital infections. Neonates who developed intra-71 cranial hemorrhage III and IV, severe neurological 72 impairment, bronchopulmonary dysplasia (use of oxygen 73 beyond 36 weeks of corrected age), neonatal sepsis (positive 74 blood culture), necrotizing enterocolitis (stages II and III of 75 Bell's classification),¹⁵ patent ductus arteriosus with surgical 76 repair, perinatal hemolytic disease, use of exclusive paren-77 teral nutrition for >7 days were excluded. These conditions 78 were referred to as "major neonatal morbidities" for the 79 study. 80

Assessments and data collection

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Head circumference was measured at birth, at the time of 82 hospital discharge, and at the corrected ages of term, 1, 3, 83 5, 12, 18, and 24 months, using an inextensible tape measure at the largest occipitofrontal circumference. The HC Z 85

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Jornal de Pediatria xxxx;xxx(xxx): xxx-xxx

score for age and sex was calculated using the Fenton neona-86 tal growth chart (2013)¹⁶ as a reference up to 50 weeks of 87 corrected age and, after this age, the WHO chart (2006).¹⁷ 88 HC gain (HC delta) was calculated by the difference in mea-89 surement between two moments. Gestational age at birth is 90 considered the first-trimester ultrasound scan or the date of 91 the last menstrual period. The corrected ages during the 92 93 study were calculated considering the corrected age of 40 weeks as the corrected term age. A gain in standard devia-94 tion greater than 0.67 in each period indicated a clinically 95 significant catch-up in head circumference growth.¹ 96

The outcome was cognitive, language, and motor devel-97 opment, assessed with the Bayley Developmental Scales 98 (Bayley-III), applied by a psychologist with experience in 99 child development at the follow-up appointments at 12, 18, 100 and 24 months of corrected age. This instrument is consid-101 ered the gold standard for identifying developmental devia-102 tions in children and is widely used to monitor preterm-born 103 children's development. The composite score has a mean of 104 100 (\pm 15), values below 85 (-1 SD of the mean) indicate 105 mild/moderate developmental deficit, and values below 106 107 70 (-2 SD of the mean) indicate severe developmental deficit.19 108

Maternal, neonatal, and follow-up variables were col-109 lected from medical records and during clinical appoint-110 ments: maternal age, maternal schooling, hypertension, 111 diabetes, multiple gestations, gestational age, type of deliv-112 ery, gender, APGAR scores, birth weight, length and HC and 113 their respective Z scores, small for gestational age (SGA -114 birthweight less than the 10th percentile or weight Z score 115 less than -1.28), parenteral nutrition, recovery of 116

birthweight, use of oxygen therapy, length of hospital stay, 117 corrected age at discharge, length of breastfeeding and 118 presence of the father in daily care. The socioeconomic and 119 family profile was evaluated by the information on maternal 120 schooling and the presence of the father in daily care. 121

The recommended nutritional guidelines were followed 122 during the neonatal hospitalization.^{20,21} In the follow-up, 123 the nutritional practices encouraged breastfeeding and 124 healthy eating. 125

Statistical analysis

EPIINFO software was used for database storage and the sta-127 tistical analyses were performed using SPSS software version 128 23. Descriptive analysis included the mean and standard 129 deviation for continuous variables and proportions for cate-130 gorical variables. Pearson's correlation was used to verify 131 the association between HC at birth, at the time of hospital 132 discharge, at the corrected ages of term, 1, 3, 5, 12, 18 and 133 24 months, and the scores of the Bayley-III Scales at 12, 18, 134 and 24 months of corrected age. For all the analyses, the 135 study considered a significance level of 0.05. 136

Results

A total of 95 newborns without major neonatal morbidities 138 were included in the study. Losses during follow-up occurred 139 due to the missing Bayley-III appointments (Figure 1). 140

The mean gestational age was 30.1 weeks (\pm 2.2) and 141 birthweight was 1275.8 g (\pm 312.4), with 27% of newborns 142



Figure 1 Flow diagram for study participants.

L.D. Villela, M.L. Mayrink, M.D. Méio et al.

 Table 1
 Maternal, neonatal and outpatient follow-up characteristics of the study population (n = 95).

Maternal characteristics	n (%)	Mean (SD)
Hypertension, n (%)	26 (30.2 %)	
Diabetes, n (%)	6 (7.0%)	
Multiple gestations, n (%)	54 (57.4%)	
Age (years), mean (SD)		27.4 (7.9)
Schooling (years of study), mean (SD)		10.3 (2.5)
Neonatal and outpatient follow-up characteristics	n (%)	Mean (SD)
Gestational age (weeks), mean (SD)		30.1 (2.2)
Cesarean section, n (%)	71 (76.3 %)	
Gender (male), n (%)	36 (38.3 %)	
APGAR 1, mean (SD)		7.1 (1.6)
APGAR 5, mean (SD)		8.6 (0.7)
Birthweight (g), mean (SD)		1275.8 (312.4)
Birth length (cm), mean (SD)		38.7 (3.0)
Birth head circumference (cm), mean (SD)		27.2 (2.3)
Birthweight Z score, mean (SD)		-0.56 (1.1)
Birth length Z score, mean (SD)		-0.37 (1.04)
Birth head circumference Z score, mean (SD)		-0.34 (0.92)
Small for gestational age, n (%)	26 (27.3 %)	
Parenteral nutrition (days), mean (SD)		10.6 (5.8)
Birthweight recovery (days of life), mean (SD)		14.5 (6.7)
Oxygen therapy (days), mean (SD)		12.1 (16.4)
Duration of neonatal hospitalization (days), mean (SD)Cor-		45.4 (19.1) 36.3
rected age at discharge (weeks), mean (SD)		(1.7)
Breastfeeding (months), mean (SD)		3.60 (3.64)
Father's presence in daily care, <i>n</i> (%)	65 (84.4%)	

being SGA, and the average schooling of the mothers was 144 10.3 years (\pm 2.5) (Table 1).

Regarding HC trajectory, there was a decrease in the Z score between birth and neonatal discharge, with a difference (delta) of - 0.18. The increase in the HC Z score was most evident between discharge and 1 month of corrected age, with a positive delta of 0.81 (catch-up). From this period until 2 years of corrected age, the HC Z score curve remained relatively stable (Figure 2).

The mean language scores remained below 85 at 12, 18, and 24 months of corrected age (1 SD below the mean), corresponding to a mild to moderate language deficit (Figure 2). However, the mean scores for the motor and cognitive domains remained within the expected range, although there was a downward trend in the mean cognitive scores towards the corrected age of two years (Figure 2).

The prevalence of total deficit (mild, moderate, or 159 severe) found at 18 months of corrected age was 5.6%, 160 50.7%, and 5.6% for the cognitive, language, and motor 161 domains, respectively. At 24 months of corrected age, there 162 was an increase in the prevalence of deficits in the cognitive 163 and motor domains, to 14.9% and 13.0%, respectively, while 164 for language the prevalence remained similar, at 50.0%. It is 165 noteworthy that in the cognitive domain, there is a progres-166 sive decrease in the proportion of children without deficits, 167 168 but at the expense of a predominant increase in the propor-169 tion of moderate deficits; the same occurs in the language 170 and motor domains (Figure 2).

There was a positive correlation between HC at hospital discharge and the assessment of cognitive, language, and motor domains performed at 12 months. The HC measurement at 5 months correlated positively with the three developmental domains assessed at 18 months of corrected age. 175 Regarding cognitive and motor development, a positive correlation was found between HC at all the ages considered in this study and the Bayley-III assessment performed at 18 months of corrected age (Table 2). 179

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Discussion

This study showed that preterm newborns experienced a 181 decrease in their HC Z score between birth and hospital dis-182 charge when their catch-up began, and this accelerated HC 183 growth continued up to 1 month of corrected age. This popu-184 lation evolved with a high prevalence of cognitive, lan-185 guage, and motor disabilities at 18 and 24 months of 186 corrected age. There was a positive correlation between HC 187 measurements at birth, hospital discharge, and at term, 1, 188 3, 5, 12, and 18 months of corrected age and development 189 at 18 months of corrected age. 190

These results demonstrate the importance of serial 191 assessment of HC as a clinical marker for developmental disorders. In this way, the longitudinal definition of growth 193 restriction, considering HC measurements and not just 194 weight, can contribute to the prediction of adverse neurodevelopmental outcomes throughout life.²² 196

Neubauer et al. observed that very preterm newborns 197 who evolved with "suboptimal" HC during the first two years 198 of life had lower cognitive and psychomotor development 199 scores at 12 and 24 months of corrected age compared with 200



Figure 2 Head circumference and developmental trajectories (2A and 2B), and the prevalence (2C) of severe deficit (composite score below 70), mild/moderate deficit (composite score below 85), and adequate development (composite score greater than or equal to 85).

newborns who evolved with a normal HC.⁴ These authors 201 showed a greater association between HC at 3 months and 202 developmental delay at 12 and 24 months of corrected age 203 and highlighted that HC at 3 months is a valuable marker of 204 adverse neurodevelopmental outcomes.⁴ The current study 205 found that HC at hospital discharge had a positive correla-206 tion with the cognitive, language, and motor domains at 207 12 months. There was also a positive correlation between 208 HC at 5 months and the three developmental domains at 209 210 18 months.

This study showed that the HC gain between birth and 5 months and between birth and 24 months of corrected age was 14.6 cm and 20.5 cm, respectively. These findings are similar to those of Jaekel et al.'s study, in which they reported an increase of 14.5 cm and 20.2 cm in HC between 215 birth and 5 months and between birth and 20 months of cor-216 rected age.⁵ These authors observed that both HC at birth 217 and its growth between birth and 20 months and between 20 218 months and 4 years were predictive of intelligence at 6 years 219 of age. This prediction is also influenced by the social and 220 economic status of the family, which is linked to the devel-221 opment of brain regions related to language, memory, and 222 cognition.^{5,23} Thus, there is significant growth in HC during 223 the first two years of life, which is a critical window for brain 224 development and growth. 5,24,25,26 225

While the third trimester of intrauterine life is marked 226 by accelerated brain growth, ¹¹ very preterm newborns miss 227 this period inside the uterus. Additionally, they are exposed 228

L.D. Villela, M.L. Mayrink, M.D. Méio et al.

 Table 2
 Correlation between head circumference Z score and Bayley-III Scales (Composite Scores) in very preterm or very low birth weight newborns at 12 and 18 months of corrected age.

			Domains of development					
			Cognitive		Lang	guage	Мо	tor
12 months		Ν	R	p-value	R	p-value	R	p-value
Head circumference	Birth Discharge Term 1 month 3 months 5 months	71 67 71 72 72 61	0,205 0,260* 0,149 0,146 0,057 -0,055	0,086 0,034 0,216 0,220 0,634 0,676	0,133 0,273* 0,191 0,142 0,117 0,252*	0,271 0,025 0,110 0,236 0,327 0,050	0,319** 0,287* 0,194 0,195 0,109 0,066	0,007 0,019 0,106 0,101 0,362 0,615
		74	0,072	0,-57	0,207	0,070	0,154	0,234
18 months		Ν	R	p-value	R	p-value	R	p-value
Head circumference	Birth Discharge Term 1 month 3 months 5 months 12 months 18 months	66 62 66 68 67 58 61 69	0,306* 0,356** 0,295* 0,326** 0,290* 0,383** 0,368** 0,265*	0,013 0,005 0,016 0,007 0,017 0,003 0,004 0,028	0,133 0,195 -0,003 0,103 0,086 0,307** 0,230 0,238*	0,286 0,130 0,980 0,403 0,488 0,019 0,075 0,049	0,311* 0,330** 0,301* 0,315** 0,282* 0,459** 0,304* 0,314**	0,011 0,009 0,014 0,009 0,021 0,000 0,017 0,009

to adverse environmental factors and evolve with a 229 decrease in HC growth until the period of hospital dis-230 charge. The current study found that the lowest HC Z score 231 occurred at hospital discharge, with a slowdown in HC 232 growth of -0.18 between birth and hospital discharge. 233 Afterward there was a faster rate of HC growth, between 234 hospital discharge and 1 month of corrected age (Z score 235 delta of 0.81), characterizing a catch-up period. Neubauer 236 et al. showed similar results in terms of the lowest HC Z 237 score at discharge and the highest HC growth rate of 0.11 \pm 238 1.2 between discharge and 3 months of corrected age⁴. 239 This accelerated growth was lower than in the present 240 241 study, which can be explained by the inclusion of newborns with neonatal morbidities and the improvement in the 242 quality of neonatal care over the years. Sicard et al. also 243 observed insufficient HC growth (negative Z-score delta of 244 - 0.5) between birth and hospital discharge in newborns 245 younger than 27 weeks but adequate HC growth in new-246 borns older than 28–30 weeks.² 247

The study by Cho et al. with very preterm and SGA new-248 borns showed a decrease in HC Z score between birth and 35 249 weeks, with developmental consequences at 18 months of 250 corrected age and a significant HC catch-up between 35 and 251 40 weeks of corrected age that continued up to 4 months of 252 corrected age.²⁷ Cho et al. reported similar results to the 253 present study since the neonates in the current study were 254 discharged from the hospital at approximately 36 weeks of 255 256 corrected age, at which point the HC catch-up started to 257 become more noticeable up to 1 month of corrected age.

Insufficient HC growth during intrauterine and extrauterine life, and therefore lower HC at birth and at hospital discharge, respectively, can be considered predictors of unfavorable developmental outcomes, even in preterm new- 261 borns without major neonatal morbidities, as shown in this 262 study at 12 and 18 months of corrected age. Corroborating 263 this result, Sicard et al. demonstrated that the association 264 of HC at birth lower than - 2DP and insufficient HC growth 265 between birth and hospital discharge had a synergistic 266 effect on the risk of developmental delay at 2 years.² In 267 their study, with 4046 newborns younger than 34 weeks, 268 there was a negative correlation between the HC Z score at 269 birth and HC growth until hospital discharge with neurodeve- 270 lopmental delay at 2 years of corrected age.² Selvanathan et 271 al. observed similar findings of a correlation of HC at birth, 272 with lower cognitive scores at 18 and 36 months of age, and 273 worse outcomes in the intelligence and motor assessments 274 at 4 years of age, regardless of postnatal diseases and the 275 volume of diffuse white matter alterations.¹ 276

In addition, insufficient HC growth that persists after dis- 277 charge from the NICU also contributes to negative neurode-278 velopmental outcomes.^{1,3,27} Raghuram et al. showed that 279 babies who had insufficient HC growth (HC Z score delta <280 -2DP) between birth and 16-36 months of age had a higher 281 risk of significant cognitive, language, and motor delay (Bay-282 ley-III score < 70) at this age, thus highlighting the impor-283 tance of HC catch-up growth after hospital discharge and 284 during the first months of life.³ 285

Long-term follow-up studies with babies born very prematurely are therefore necessary, especially as neurodevelopmental impairments become more apparent as the children acquire higher-order skills.²⁸ Consistent with the findings of the publication by Garfinkle et al., the current study demonstrated a trend towards greater detection of developmental delay as the age of 12 to 24 months 292

Jornal de Pediatria xxxx; xxx(xxx): xxx-xxx

advanced. Garfinkle et al. showed that assessment at a later
 age may be more accurate in diagnosing developmental defi cits as tasks become more complex.²⁸

The findings of this study showed that the prevalence of 296 delay in the cognitive, language, and motor domains at 297 2 years of corrected age was 14.9%, 50.0%, and 13.0%, 298 respectively. The study by Valentini et al. also found a high 299 300 prevalence of 30% of cognitive delay at 4 and 24 months; 50% of language delay at 4 and 24 months and 50% of motor 301 delay at 8 and 12 months.²⁹ In Pierrat et al.'s study, the pro-302 portion of children born at 24-26 and 27-31 weeks' gesta-303 tion who had at least one of the neurodevelopmental 304 domains below the threshold at 2 years was 50.2% and 305 40.7%, respectively.³⁰ 306

In the last 2-3 decades, there has been a decrease in the 307 incidence of severe neurodevelopmental disorders such as 308 severe cerebral palsy, as well as the presence of severe 309 neonatal morbidities such as cystic periventricular 310 leukomalacia.^{7,8,11,30} This transition in the diagnosis of brain 311 312 lesions, from major lesions such as extensive intracranial 313 hemorrhages and cystic periventricular leukomalacia to the 314 recognition of diffuse and sometimes microstructural changes in brain maturation, which can be subtle and underdiagnosed, 315 may explain the increase in the survival of very preterm 316 babies with a high and sustained incidence of cognitive 317 impairment and behavioral and motor disorders with social 318 and emotional repercussions throughout life.7,11,14,29,30 Dif-319 fuse and microstructural alterations are associated with 320 reductions in the functional connectivity of frontoparietal 321 and executive control neural networks, which predispose chil-322 dren born very preterm to deficits in intelligence, executive 323 function, attention, processing speed, language skills, aca-324 demic performance, and motor skills at school age.^{9,1} 325

The strength of this study results from the longitudinal 326 327 monitoring of HC and neurodevelopment in children born very preterm up to 2 years of age by an interprofessional 328 team. It should be noted that serial assessment of HC is a 329 rapid and low-cost technique that can easily be imple-330 mented in the clinical routine, from birth and during neo-331 natal hospitalization, up to the first years of life. In 332 addition, the HC measurement correlates with brain vol-333 ume measured by nuclear resonance and allows early iden-334 335 tification of risk and timely intervention to optimize neurodevelopment. 336

The study had some limitations, such as a loss of about 337 50% at 24 months and the lack of a sample size calculation, 338 although the use of an established sample allows access to 339 longitudinal data and the ability to look at trends over time. 340 341 Other limitations were the non-inclusion of newborns with significant morbidities and, thus, individual clinical chal-342 lenges affecting both growth and neurodevelopmental tra-343 jectories. Therefore, the results cannot be generalized to 344 the group of very preterm infants, as no critically ill infants 345 were included. However, it was possible to observe the prev-346 alence of neurodevelopmental deficits and the change in 347 Bayley-III scores according to the age of the assessment. 348 Another issue is that the study was carried out in a single 349 350 center, and replication of the results in different contexts 351 will allow greater applicability of head circumference 352 assessment as an indicator of neurodevelopment. More mul-353 ticenter follow-up studies into school age and adolescents 354 should be encouraged to better understand the lifelong

effects of prematurity in terms of socialization, behavior 355 and learning. 356

In conclusion, the study highlights the importance of lon-357 gitudinal growth assessment of HC from birth, during the 358 neonatal period and the first months of life, especially in 359 very preterm newborns who evolve with a high prevalence 360 of developmental deficits. The catch-up of HC growth 361 occurred very precociously, between discharge and 1 month 362 of corrected age, and HC correlates with development at 12 363 and 18 months of corrected age. HC growth is a clinical pre-364 dictor of neurodevelopment during childhood and growth-365 enhancing practices should be provided, such as promoting 366 nutrition and encouraging the maintenance of breastfeed-367 ing, and guidelines that support the baby's stimulation, 368 affection, and bonding with their caregivers during the hos-369 pitalization, the catch-up period and the valuable "first 370 1000 days of life". 371

Conflicts of interest 372

The authors declare no conflicts of interest. 373

Authors' contributions

Conceptualization and Methodology: MELM, FVMS, LDV, 375 MLSM, MDBBM, ADA, SRGN, MCCR, LBPB, SCGJ; Data collec-376 tion and Software: LDV, FVMS, ADA, ABRR, SRGN, SCGJ; For-377 mal analysis: SCGJ, LBPB; Analysis and Data interpretation: 378 LDV, MLSM, MDBBM, MELM, FVMS, ADA, SRGN, SCGJ, ABRR, 379 LBPB, MCCR; Writing - Original Draft: MLSM, LDV, MDBBM, 380 FVMS, MELM, SCGJ; Writing - Review & Editing: LDV, MLSM, 381 MDBBM, MELM, FVMS, ADA, SRGN, ABRR, MCCR, LBPB, SCGJ; 382 Resources, MELM; Supervision, MELM. 383

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L.D. Villela, M.L. Mayrink, M.D. Méio et al.

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