



ORIGINAL ARTICLE

## Outcome of children with severe acquired aplastic anemia treated with rabbit antithymocyte globulin and cyclosporine A<sup>☆,☆☆</sup>



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### KEYWORDS

Aplastic anemia;  
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Anti-thymocyte  
globulin;  
Pancytopenia;  
Relapse;  
Clonal evolution

### Abstract

**Objective:** To evaluate the outcome of children with severe acquired aplastic anemia treated with rabbit antithymocyte globulin and cyclosporine as first-line treatment at this institution.

**Methods:** Retrospective analysis of 26 pediatric patients with aplastic anemia, treated between 1996 and 2011 with rabbit antithymocyte globulin plus cyclosporine.

**Results:** The overall response rate at six months was 34.6% (9/26), and the cumulative incidence of relapse was 26.5% (95% confidence interval [CI]: 1.4%-66%) at 5 years. The cumulative incidence of clonal evolution after immunosuppressive therapy was 8.3% (95% CI: 0.001%-53.7%) at five years with both clonal evolutions in non -responders who acquired monosomy 7 karyotype. The overall survival at five years was 73.6% (95% CI: 49.2%-87.5%).

**Conclusions:** The present results confirm the poor response rate with rabbit antithymocyte globulin as first therapy in pediatrics patients, similar to what has been reported for patients of all ages. This confirmation is problematic in Brazil, given the lack of horse antithymocyte globulin in many markets outside the United States.

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**PALAVRAS-CHAVE**

Anemia aplástica;  
Terapia  
imunossupressora;  
Globulina  
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Pancitopenia;  
Recorrência;  
Evolução clonal

## Resultado de crianças com anemia aplástica grave adquirida tratadas com globulina antitumógena de coelho e ciclosporina A

**Resumo**

**Objetivo:** Avaliar o resultado de crianças com anemia aplástica grave adquirida tratadas com globulina antitumógena de coelho e ciclosporina como tratamento inicial em nosso instituto.

**Métodos:** Análise retrospectiva de 26 pacientes pediátricos com anemia aplástica tratados entre 1996 e 2011 com globulina antitumógena de coelho e ciclosporina.

**Resultados:** A taxa de resposta geral em seis meses foi de 34,6% (9/26), e a incidência acumulada de recorrência foi de 26,5% (intervalo de confiança [IC] de 95%, 1,4%-66%) em cinco anos. A incidência acumulada de evolução clonal após a terapia imunossupressora foi de 8,3% (IC 95%, 0,001%-53,7%) em cinco anos, com ambas as evoluções clonais em pacientes sem resposta que adquiriram o cariótipo com monossomia 7. A sobrevivência geral em cinco anos foi de 73,6% (IC 95%, 49,2%-87,5%).

**Conclusões:** Nossos resultados confirmam a baixa taxa de resposta com globulina antitumógena de coelho como terapia inicial em pacientes pediátricos, da mesma forma como relatado para pacientes de todas as idades. Essa confirmação é problemática em nosso país devido à falta de globulina antitumógena de cavalo em muitos mercados fora dos Estados Unidos, incluindo o Brasil.

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## Introduction

Severe aplastic anemia (SAA) is a rare hematological disease characterized by pancytopenia and a hypocellular bone marrow. In SAA, cellular marrow elements are replaced by fat as a result of an immune-mediated destruction of stem and progenitor cells.<sup>1</sup> Until recently, it was believed that fat replacement was a benign process; however, recent data suggest that it might be a negative regulator of hematopoiesis, contributing to marrow failure.<sup>2</sup> Hematopoiesis can be restored in SAA following hematopoietic stem cell transplantation (HSCT) or immunosuppressive therapy (IST). In children and young adults, HSCT is preferred when a histocompatible sibling donor is available; for all other patients, IST is often employed as first therapy.<sup>3-5</sup> The standard IST is with a combination of horse antithymocyte globulin (ATG) and cyclosporine (CsA).<sup>6</sup> More potent lymphocytotoxic agents, such as rabbit ATG, alemtuzumab, and cyclophosphamide, have yielded disappointing results in treatment-naïve SAA due to lack of efficacy and/or increased toxicity.<sup>7-10</sup> Response rates to horse ATG/CsA have been consistent across studies in the US, Europe, and Japan, and have varied between 60% and 75%.<sup>1,4,11-13</sup> In general, children have a higher hematologic response rate in the 70% to 80% range, while older adults (> 40-50 years of age) have reported response rates in the 50% to 60% range.<sup>14-17</sup>

Rabbit ATG is manufactured similarly to horse ATG, but has greater lymphocytotoxic properties on a weight basis.<sup>18,19</sup> Human T-cells derived from a thymus or T-cell line is used to sensitize an animal, whether horse or rabbit, which will produce polyclonal antibodies with a multitude of specificities to molecules expressed in human T cells. This polyclonal sera is then purified for administration in humans. Rabbit ATG has been successful in salvaging SAA patients after initial horse ATG failure and in kidney allograft, and

was shown to be superior to horse ATG in head-to-head comparison.<sup>20-22</sup> However, when given as first therapy, outcomes with rabbit ATG were inferior to horse ATG in a randomized study.<sup>8</sup> Follow-up retrospective reports have confirmed a lower response rate in patients treated with rabbit ATG as first therapy when compared to horse ATG.<sup>23-26</sup> However, the majority of the reports have not focused on children. This article aimed to report the results in pediatric patients who received rabbit ATG as first therapy for SAA treated at the Instituto da Criança of the Universidade de São Paulo, São Paulo, Brazil.

## Patients and Methods

### Patients

This study included consecutive patients with SAA who received rabbit ATG/CsA between August of 1996 and of June 2011 at the Instituto da Criança of the Universidade de São Paulo. Due to the unavailability of the horse ATG in this service and in Brazil since 2007, rabbit ATG became the standard immunosuppressor in SAA patients without an HLA-identical sibling donor. All patients met the criteria for SAA, defined as a bone marrow cellularity of less than 30% and severe pancytopenia with at least two of the following peripheral blood count criteria: (1) absolute neutrophil count (ANC) < 0,5 x 10<sup>9</sup>/L (2) absolute reticulocyte count (ARC) < 60x10<sup>9</sup>/L; platelet count < 20x10<sup>9</sup>/L.<sup>27</sup> Exclusion criteria were: (1) abnormal cytogenetics, (2) bone marrow morphology consistent with myelodysplasia, and (3) diagnosis of Fanconi anemia. Bone marrow biopsy and aspirate, including cytogenetics, were performed before initiating therapy. Fanconi anemia was excluded by the absence of chromosomal changes after exposure *in vitro* of lymphocytes

to diepoxibutane (Deb-test). Patients were hospitalized for the administration of rabbit ATG and discharged when clinically stable, usually after approximately three weeks. The local medical ethics committee approved this study, and data were obtained from written and computerized material records.

### Treatment regimen

An initial intravenous test dose was performed on all patients to assess for allergic hypersensitivity. Rabbit ATG (Timoglobulina®, Genzyme, Cambridge, MA, USA) was administered at a dose of 5 mg/kg/d i.v for five consecutive days. Serum sickness prophylaxis was with methylprednisolone at 2 mg/kg/d was given prior to the first dose of ATG, and was continued for ten days and then tapered over the subsequent seven days. Cyclosporine was initiated on day 6 at 10 mg/kg/d p.o in divided doses q12h. CsA was administered for at least six months, adjusted to blood levels (therapeutic range between 150 and 250 ng/mL).

### Supportive care

Granulocyte colony stimulating factor (G-CSF) was administered at a dose of 5 µg/kg subcutaneously from day +1 to day +30 to maintain neutrophils  $>0.5 \times 10^9/L$  to avoid infections. Itraconazole was used as prophylaxis for fungal infection at a dose of 100 mg/d for at least one month after rabbit ATG. Other prophylactic antibiotics were not routinely administered.

Red blood cells were transfused in patients with symptomatic anemia or to maintain a hemoglobin level higher than 9 g/dL. Platelets were transfused prophylactically in all patients with a platelet count lower than  $10 \times 10^9/L$ . Platelets were transfused at a higher threshold ( $20 \times 10^9/L$ ) in the presence of fever and/or clinical bleeding.

### Definitions

Complete response (CR) was defined as transfusion independence associated with hemoglobin (Hb)  $> 110$  g/L, neutrophil count  $> 1.5 \times 10^9/L$ , and platelet count  $> 100 \times 10^9/L$ . Partial response (PR) was defined as transfusion independence, but not meeting the blood count criteria for CR. All remissions had to be confirmed by two blood counts at least four weeks apart. Response was evaluated at 180 days from treatment. Relapse was indicated by the requirement of red blood cell and/or platelet transfusion after transfusion independence lasting three or more months.

Clonal evolution was defined as the appearance of a new clonal disorder on cytogenetics or characteristic morphologic changes on bone marrow examination.

### Statistical analysis

Summary statistics, including means, proportions, and their corresponding standard deviations were used to describe patients' age, gender, and other baseline characteristics. Sample proportions and their 95% confidence intervals (CIs) were used to describe the six-month response rates for patients categorized by discrete risk factors. Long-term

survival probabilities for patients with discrete and continuous baseline risks were evaluated using Kaplan-Meier estimates; patients who were lost to follow-up were counted as censored. Median follow-up was determined by the reverse censoring method. The numerical results were computed using GraphPad Prism (GraphPad, CA, USA).

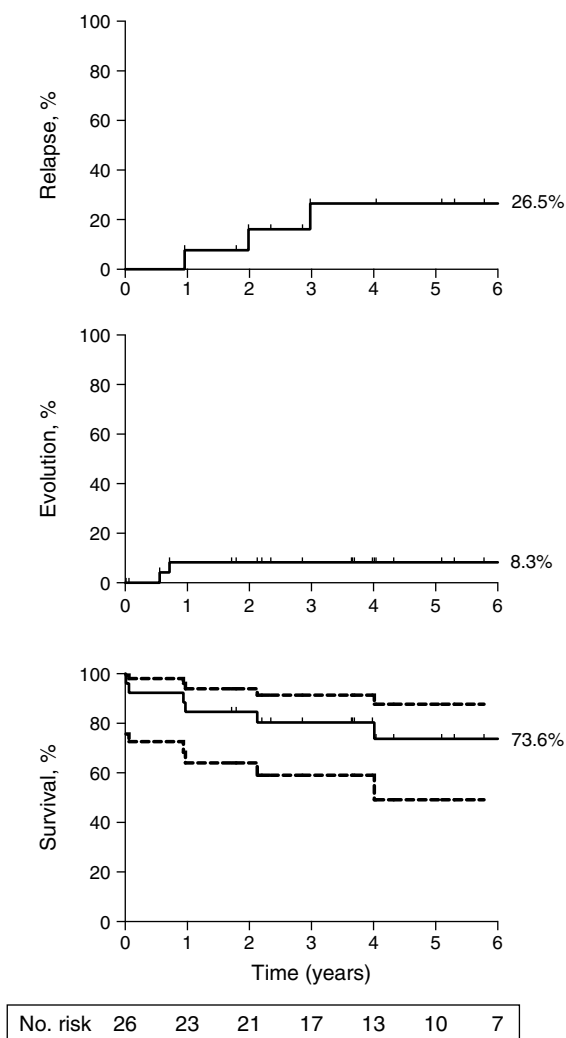
### Results

A total of 26 patients with SAA were treated with rabbit ATG/CsA. The median follow-up for the cohort was 4.3 years (interval: 0.02-12.2). The median age was 8.1 years (range: 1.6-15). The absolute neutrophil count was less than  $0.2 \times 10^9/L$  at presentation in 14 (53.8%) and less than  $0.5 \times 10^9/L$  in 12 (46.2%) patients. In 92.3% of cases, there was no apparent precipitating event (idiopathic SAA), and two patients (7.7%) had SAA following seronegative hepatitis. The interval between diagnosis and start of treatment was a median of 75.7 days (range: 7-300 days). Additional patient characteristics are shown in Table 1.

The overall response rate at six months was 34.6% (95% CI: 16%-53%). Three patients responded between six and 12 months resulting in a response rate of 46.2% (95% CI: 27%-65%) at this time period. The cumulative incidence of relapse was 26.5% (95% CI: 1.4%-66%) at five years (Fig. 1,

**Table 1** Demographic and hematological characteristics.

Patient characteristics	Number of patients (n = 26)
<i>Male/ female</i>	14/12 (53.8% / 46.2%)
<i>Median age at diagnosis, years</i>	8.1 (1.6-15)
<i>Cause of aplastic anemia</i>	
Idiopathic	24 (92.3%)
Associated hepatitis	2 (7.7%)
<i>Severity of disease at diagnosis</i>	
Very severe (neutrophil count $< 0.2 \times 10^9/L$ )	14 (53.8%)
Severe (neutrophil count $< 0.5 \times 10^9/L$ )	12 (46.2%)
Median time between diagnosis and treatment initiation, days	75.7 (7-300)
<i>Response to treatment at six months</i>	
Complete response	3 (11.6%)
Partial response	6 (23.0%)
No response	17 (65.4%)
Relapse	4 (15.0%)
Clonal evolution (monosomy 7)	2 (7.6%)
<i>Outcome</i>	
Alive with transfusion independency	16 (61.6%)
Alive with transfusion dependency	4 (15.4%)
Dead	6 (23.0%)
Median time of follow-up, years	4.3 (0.02-12.2)



**Figure 1** Long term outcomes following initial therapy with rabbit ATG. (Top) The cumulative incidence of relapse was 26.5% (95% CI: 0.1%-68%) at five years among responders to rabbit ATG; (Middle) The cumulative incidence of clonal evolution was 8.3% (95% CI: 0.001%-53.7%) among all patients; (Bottom) The overall survival at five years was 73.6% (95% CI: 49.2%-87.5%) among all patients. Patients were censored at the time of death or last follow-up. Median follow-up for all patients was 4.3 years. The graph was truncated at six years. Dotted lines, bottom panel represents 95% CI. Number at risk is depicted for the Kaplan-Meier survival curve only.

top panel). The cumulative incidence of clonal evolution was 8.3% (95% CI: 0.001-53.7%; Fig. 1, middle panel). The two clonal evolutions were in non-responders who acquired a monosomy 7 karyotype, and both died due to infectious complications. The overall survival at five years was 73.6% (95% CI: 49.2%-87.5%; Fig. 1, bottom panel). There were four deaths from complications of SAA (septicemia) and two deaths secondary to clonal evolution.

## Discussion

In general, children have a more favorable outcome compared to older patients with aplastic anemia who are treated

with IST. The response rates are higher in children and overall survival among responders is excellent.<sup>17</sup> Although there hasn't been a randomized study comparing IST to HSCT in pediatric patients, most patients in this age group undergo a matched related HSCT if a histocompatible sibling is available. However, IST in this age group also produces excellent results as reported by the European Group for Blood and Marrow Transplantation (EBMT), where survival outcomes for IST and HSCT as first therapy were > 90%.<sup>28</sup> Most of the IST experience in SAA is with horse ATG; however, since 2007, this formulation is no longer available in many parts of the world including Brazil. Thus, rabbit ATG became the only formulation available outside the United States, and it is used interchangeable with horse ATG by hematologists worldwide. However, outcomes from a large prospective and several other retrospective analysis have demonstrated that rabbit ATG was less efficacious than horse ATG as first therapy in SAA. At this center, rabbit ATG is still used, and a high dose of ATG was adopted as initial therapy in children who were not transplant candidates to verify whether the response would be better when compared with the usual doses.

The authors' experience with rabbit ATG as first line therapy in a small pediatric cohort was disappointing. Although there was no historical control, the results were far inferior to the 70%-80% response rate reported in the literature with horse ATG in children under the age of 18. The present relative sample size (with wide confidence intervals) is a limitation to our analysis; notwithstanding, the observed response rate in this pediatric cohort was lower than what is observed in this patient population following horse ATG therapy. The experience of only a 34.6% response rate at six months is very similar to the large NIH randomized trial, and is in accordance with other retrospective results.<sup>8,24,26</sup> A small retrospective study showed a similarly low response rate in children, where only 13.3% of patients (2/15) responded to rabbit ATG.<sup>29</sup> Some reports suggest that the response to rabbit ATG as first therapy is not too dissimilar from what observed with horse ATG; however, the response rate to rabbit ATG in these retrospective analysis tend to be lower than what has been reported in other large studies with this agent.<sup>30,31</sup>

The present results suggest that the response rate of rabbit ATG as first therapy is poor in pediatric patients, similarly to what has been reported for patients of all ages. The confirmation of this hypothesis in this patient population is logistically complex, given the lack of horse ATG outside the United States market.

## Conflicts of interest

The authors declare no conflicts of interest.

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